



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/091,567	
			Filing Date	March 7, 2002	
			First Named Inventor	Jonathan P. Wong	
			Art Unit	1642	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	NEL-0006/FCA

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
WJ	A1	5,703,057-	12-30-1997	Johnston et al.	
	A2	5,709,865-	01-20-1998	van den Hurk et al.	
	A3	5,879,687-	03-09-1999	Steven G. Reed	
	A4	5,972,707-	10-26-1999	Roy et al.	
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	A19	2002/0045594-	04-18-2002	Volkin et al.	
	A20	2002/0106798-	08-08-2002	Robinson et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
WJ ↓	A21	IB-WO 98/52603-	11-26-1998	Cusi et al.		✓
	A22	IB-WO 01/68802-	09-20-2001	Sung et al.		✓
	A23	IB-WO 01/91536-	12-06-2001	Wang, Danher		✓
	A24	IB-WO 02/40504-	05-23-2002	Kingley et al.		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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Examiner Signature		Date Considered	12/21/02
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MA	A25	Townsend, A. R. M. et al., "Cytotoxic Cell Recognition of the Influenza Nucleoprotein and Hemagglutinin Expressed in Transfected Mouse L Cells" Cell, Vol. 39, Pages 13-25 (November, 1984)	✓
	A26	Ulmer, J. B. et al., "Heterologous Protection Against Influenza by Injection of DNA Encoding a Viral Protein," Science, Vol. 259, Pages 1745-1749 (March, 1993)	✓
	A27	Ulmer, J. B. et al., "Protective Immunity by Intramuscular Injection of Low Doses of Influenza Virus DNA Vaccines," Vaccine, Vol. 12, Pages 1541-1544 (1994)	✓
	A28	Wong, J. P. et al., "Enhanced Protection Against Respiratory Influenza A Infection in Mice by Liposome-Encapsulated Antibody," Immunology, Vol. 81, Pages 280-284 (1994)	✓
	A29	Pardoll et al., "Exposing the Immunology of Naked DNA Vaccines," Immunity, Vol. 3, Pages 165-169 (August, 1995)	✓
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	A31	Donnelly, J. J. et al., "DNA Vaccines," Annu. Rev. Immunol., Vol. 15, Pages 617-648, (1997)	✓
	A32	Mahato, R. I. et al., "Biodistribution and Gene Expression of Lipid/Plasmid Complexes after Systemic Administration," Human Gene Therapy, Vol. 9, Pages 2083-2099 (September, 1998)	✓
	A33	Wong, J. P. et al., "Liposome-Mediated Immunotherapy Against Respiratory Influenza Virus Infection Using Double-Stranded RNA Poly ICLC," Vaccine, Vol. 17, Pages 1788-1795 (1999)	✓
	A34	McCluskie, M. J., "Novel Strategies Using DNA for the Induction of Mucosal Immunity," Critical Reviews in Immunology, Vol. 19, Pages 303-329 (1999)	✓
	A35	Saravolac, E. G. et al., "Immunoprophylactic Strategies Against Respiratory Influenza Virus Infection," Vaccine, Vol. 19, Pages 2227-2232 (2001)	✓
	A36	Wong, J. P. et al., "Liposome-Encapsulated Nucleic Acid-Based Drugs and Vaccines as Novel Antiviral Agents," Recent Devel. Antiviral Res., Vol 1, Pages 175-191 (2001)	✓

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Examiner Signature		Date Considered	5/29/03
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